



Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number <b>BEAS-01077US3</b>	Serial/Patent Number <b>09/975,587</b>
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		Applicant/Patent Owner <b>Dean Bernard Jacobs, et al.</b>	
		Filing/Issue Date <b>October 11, 2001</b>	Group Art Unit <b>2661-2155</b>

**U.S. PATENTS**

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date

**U.S. PATENT PUBLICATIONS**

Examiner Initial	Patent Application Publication Number	Publication Date	Applicant
			<b>RECEIVED</b> <b>AUG 08 2002</b>

**PENDING U.S. PATENT APPLICATIONS**

Examiner Initial	Application Number	Filing Date	First Named Inventor	Petition to Expunge?	Technology Center
				Yes   No	
<b>AB</b>	1. 09/975,590	October 11, 2001	Dean Bernard Jacobs, et al.		2600
	2. 09/405,260	September 23, 1999	Dean Bernard Jacobs, et al.		<b>RECEIVED</b> <b>AUG 19 2002</b>
	3. 09/405,508	September 23, 1999	Dean Bernard Jacobs, et al.		
	4. 09/405,500	September 23, 1999	Dean Bernard Jacobs, et al.		
	5. 60/305,985	July 16, 2001	Chang, et al.		2100

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Translation
						Yes   No

**OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)**

<b>AB</b>	1.	<i>Achieving Scalability and High Availability for E-Commerce and Other Web Applications</i> , BEA Systems, Inc., June 15, 1999.
	2.	BEA WebLogic Server Version 6.0 DataSheet, BEA Systems, Inc., December 17, 2000.
	3.	BEA WebLogic Server Version 6.1 DataSheet, BEA Systems, Inc., May 30, 2001.

Examiner Alicia Baturay Date Considered 8/20/05

**\*EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form <b>PTO/AIA 449</b> (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number <b>BEAS-01077US3</b>	Serial/Patent Number <b>09/975,587</b>
	<b>Information Disclosure Statement</b> <b>BY APPLICANT</b> (Use several sheets if necessary)			
	Applicant/Patent Owner <b>Jacobs, et al.</b>		Filing/Issue Date <b>October 11, 2001</b>	Group Art Unit <del>2661</del> <b>2155</b>

**U.S. PATENTS**

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
<b>AB</b>	5,920,867	7/6/99	Van Huben, et al.	707	101	12/6/96
	6,088,694	7/11/00	Burns, et al.	707	8	3/31/98
	6,263,372	7/17/01	Hogan, et al.	709	237	7/7/98

**U.S. PATENT PUBLICATIONS**

Examiner Initial	Patent Application Publication Number	Publication Date	Applicant

**PENDING U.S. PATENT APPLICATIONS**

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**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Trans- lation Yes   No

**OTHER DOCUMENTS** (Include author (if any), title, publisher and place of publication, date and pertinent pages)

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Examiner <i>Alicia Baturay</i>	Date Considered <b>8/20/05</b>
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Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number BEAS-01077US2	Serial/Patent Number 09/975,587
Information Disclosure Statement BY APPLICANT (Use several sheets if necessary)		Applicant/Patent Owner Jacobs, et al.	
		Filing/Issue Date 10/11/01	Group Art Unit <del>2661</del> 2155



U.S. PATENTS

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
AB	5,434,994	8/18/95	Shaheen, et al.	395	500	5/23/94
	6,304,882 B1	10/16/01	Strellis, et al.	707	202	5/5/98
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FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Trans-lation	
						Yes	No

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)


Examiner *Alicia Baturay* Date Considered *8/20/05*

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Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEAttorney Docket Number  
BEAS-01077US3Serial/Patent Number  
09/975,587

(Substitute)

## Information Disclosure Statement

BY APPLICANT

(Use several sheets if necessary)

Applicant/Patent Owner  
Jacobs et al.Filing/Issue Date  
October 11, 2001Group Art Unit  
~~2184~~ 2155

## U.S. PATENTS

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
AB	5,212,793	05/18/93	Donica et al.			
	5,249,290	09/28/93	Heizer			
	5,761,507	06/02/98	Govett			
	5,768,504	06/16/98	Kells et al.			
	5,802,291	09/01/98	Balick et al.			
	6,338,089	01/08/02	Quinlan			
	6,343,287	01/29/02	Kumar et al.			

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## U.S. PATENT PUBLICATIONS

Examiner Initial	Patent Application Publication Number	Publication Date	Applicant

## PENDING U.S. PATENT APPLICATIONS

Examiner Initial	Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes   No

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Translation Yes   No

## OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

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Examiner *Alicia Baturay*

Date Considered 8/20/05

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Form PTO-14 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number <b>BEAS-01077US3</b>	Serial/Patent Number <b>09/975,587</b>
<b>Information Disclosure Statement</b> <b>BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner <b>Jacobs et al.</b>	
		Filing/Issue Date <b>October 11, 2001</b>	Group Art Unit <del>2184</del> <b>2155</b>

**U.S. PATENTS**

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
<b>AB</b>	6,411,956	06/25/02	Ng			
	6,542,845	04/01/03	Grucci et al.			
	6,687,848	02/03/04	Najmi			

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**U.S. PATENT PUBLICATIONS**

**Technology Center 2100**

Examiner Initial	Patent Application Publication Number	Publication Date	Applicant

**PENDING U.S. PATENT APPLICATIONS**

Examiner Initial	Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes   No

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Translation Yes   No

**OTHER DOCUMENTS** (Include author (if any), title, publisher and place of publication, date and pertinent pages)

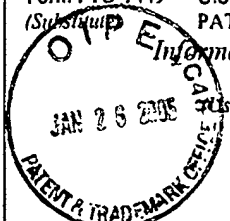
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Form PTO-1449 (Substituted)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <b>Information Disclosure Statement          BY APPLICANT</b> (Use several sheets if necessary)	Attorney Docket Number	Serial Patent Number
	BEAS-01077US3	09/975,587
	Applicant/Patent Owner	
	Jacobs et al.	
Filing/Issue Date	October 11, 2001	Group Art Unit
		<del>2184</del> 2155

**U.S. PATENTS**

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
AB	6,505,200 B1	01/07/03	Ims et al.	707	8	07/06/00
	6,539,381 B1	03/25/03	Prasad et al.	707	10	04/21/99
	6,757,708 B1	06/29/04	Craig et al.	709	203	03/03/00

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Examiner Initial	Patent Application Publication Number	Publication Date	Applicant
AB	2001/0054062 A1	12/20/01	Ismael et al.
	2002/0188591 A1	12/12/02	Santosuosso
	2003/0060214 A1	03/27/03	Hendrey et al.
	2003/0088713 A1	05/08/03	Mandal et al.
	2004/0230747 A1	11/18/04	Ims et al.

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